PATENT

MS150906.1/MSFTP164US

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this correspondence (along with any paper referred to as being attached or enclosed) is being faxed to 703-872-9306 on the date shown below to Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Date: 8-2-04

Himanshu S. Amin

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of:

Applicant(s): Eric J. Horvitz et al.

Serial No: 09/849,644

Filing Date:

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May 4, 2001

Examiner: Leslie Wong

Art Unit: 217

Title: DECISION-THEORETIC METHODS FOR IDENTIFYING RELEVANT

SUBSTRUCTURES OF A HIERARCHICAL FILE STRUCTURE TO ENHANCE THE EFFICIENCY OF DOCUMENT ACCESS, BROWSING,

AND STORAGE

Mail Stop RCE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

PRELIMINARY AMENDMENT

Dear Sir:

This Preliminary Amendment is being filled concurrently with a Request for Continued Examination Transmittal (PTO/SB/30). Prior to an examination of the subject patent application, please amend the application as indicated below.

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